## Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. $\quad$ Name of Listed Entity: Aarti Drugs Limited
2. $\quad$ Scrip Code/Name of Scrip/Class of Security: 524348
3. $\quad$ Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
a. $\quad$ If under 31(1)(b) then indicate the report for Quarter ending 30/09/2020
b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. 

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

|  | Particulars | Yes* |  |
| :--- | :--- | :--- | :--- |
| 1 | Whether the Listed Entity has issued any partly paid up shares? |  |  |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? |  |  |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? |  |  |
| 4 | Whether the Listed Entity has any shares in locked-in? | No |  |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? |  |  |

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

| Category | Category of shareholder |  |  |  |  |  |  |  |  |  |  | No. of <br> Shares <br> Underlying <br> Outstandin <br> g <br> convertible <br> securities <br> (including <br> Warrants) | $\begin{array}{\|l\|l} \text { Shareholding, } & \begin{array}{l} \text { Number of Locked in } \\ \text { shares } \end{array} \\ \hline \end{array}$ |  |  | Number of Shares pledged or otherwise encumbered |  | Number of equity shares held in demateria lised form |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |  |  |  | as a \% assuming full conversion of convertible securities ( as a percentage of diluted share capital) | No. (a) | As a \% of total <br> Shares <br> held(b) | No. (a) | As a \% of total <br> Shares <br> held(b) |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (I) | (II) | (III) | (IV) | (V) | (VI) | $\begin{array}{\|c\|} \hline \text { (VII) }= \\ (\mathrm{IV})+(\mathrm{V})+ \\ (\mathrm{VI}) \end{array}$ | $\begin{array}{\|c\|} \hline \text { (VIII) As a } \\ \% \text { of } \\ (\mathrm{A}+\mathrm{B}+\mathrm{C} 2) \end{array}$ | (IX) |  |  |  | (X) | $\begin{gathered} (\mathrm{XII})=(\mathrm{VII})+(\mathrm{X}) \\ \mathrm{As} a \% \text { of } \\ (\mathrm{A}+\mathrm{B}+\mathrm{C} 2) \end{gathered}$ | (XII) |  | (XIII) |  | (XIV) |
| (A) | Promoter \& Promoter Group | 36 | 14028200 |  | 0 | 14028200 | 60.2069 | 14028200 | \| | 14028200 | 60.2069 | 0 | 60.2069 |  | 0 |  | 0 | 14028200 |
| (B) | Public | 105483 | 9271800 |  | 0 | 9271800 | 39.7931 | 9271800 | 0 | 9271800 | 39.7931 | 0 | 39.7931 |  | 0 | NA | NA | 8931398 |
| (C) | Non Promoter - Non Public |  |  |  | 0 |  |  |  | 0 |  |  | 0 |  |  | 0 | NA | NA |  |
| (C1) | Shares Underlying DRs | 0 | 0 |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 | NA | NA | 0 |
| (C2) | Shares Held By Employee Trust | 0 | 0 |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 | NA | NA | 0 |
|  | Total | 105519 | 23300000 |  | 0 | 23300000 | 100 | 23300000 | 0 | 23300000 | 100 | 0 | 100 |  | 0 |  | 0 | 22959598 |



| (d) | Any Other (Specify) | 12 | 3523179 | 0 | 0 | 3523179 | 15.1209 | 3523179 | 0 | 3523179 | 15.1209 | 0 | 15.1209 | 0 | 0 | 0 | 0 | 3523179 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Promoter Trust | 5 | 1070085 | 0 | 0 | 1070085 | 4.5926 | 1070085 | 0 | 1070085 | 4.5926 | 0 | 4.5926 | 0 | 0 | 0 | 0 | 1070085 |
|  | Aashyav Business Trust | 1 | 350000 | 0 | 0 | 350000 | 1.5021 | 350000 | 0 | 350000 | 1.5021 | 0 | 1.5021 | 0 | 0 | 0 | 0 | 350000 |
|  | Tulip Family Trust | 1 | 235000 | 0 | 0 | 235000 | 1.0086 | 235000 | 0 | 235000 | 1.0086 | 0 | 1.0086 | 0 | 0 | 0 | 0 | 235000 |
|  | Orchid Family Trust | 1 | 232000 | 0 | 0 | 232000 | 0.9957 | 232000 | 0 | 232000 | 0.9957 | 0 | 0.9957 | 0 | 0 | 0 | 0 | 232000 |
|  | Paridhi Business Trust | 1 | 150000 | 0 | 0 | 150000 | 0.6438 | 150000 | 0 | 150000 | 0.6438 | 0 | 0.6438 | 0 | 0 | 0 | 0 | 150000 |
|  | Jay Manilal Savla ((Trustee of MOMI's Trust) | 1 | 103085 | 0 | 0 | 103085 | 0.4424 | 103085 | 0 | 103085 | 0.4424 | 0 | 0.4424 | 0 | 0 | 0 | 0 | 103085 |
|  | Bodies Corporate | 7 | 2453094 | 0 | 0 | 2453094 | 10.5283 | 2453094 | 0 | 2453094 | 10.5283 | 0 | 10.5283 | 0 | 0 | 0 | 0 | 2453094 |
|  | Gogri Finserv Pvt. Ltd. | 1 | 885972 | 0 | 0 | 885972 | 3.8025 | 885972 | 0 | 885972 | 3.8025 | 0 | 3.8025 | 0 | 0 | 0 | 0 | 885972 |
|  | Anushakti Enterprise Private Limited | 1 | 611974 | 0 | 0 | 611974 | 2.6265 | 611974 | 0 | 611974 | 2.6265 | 0 | 2.6265 | 0 | 0 | 0 | 0 | 611974 |
|  | Alchemie Finserv Pvt. Ltd. | 1 | 466234 | 0 | 0 | 466234 | 2.001 | 466234 | 0 | 466234 | 2.001 | 0 | 2.001 | 0 | 0 | 0 | 0 | 466234 |
|  | Safechem Enterprises Private Limited | 1 | 350636 | 0 | 0 | 350636 | 1.5049 | 350636 | 0 | 350636 | 1.5049 | 0 | 1.5049 | 0 | 0 | 0 | 0 | 350636 |
|  | Dilesh Roadlines Pvt Ltd | 1 | 125001 | 0 | 0 | 125001 | 0.5365 | 125001 | 0 | 125001 | 0.5365 | 0 | 0.5365 | 0 | 0 | 0 | 0 | 125001 |
|  | Alchemie Financial Services Limited | 1 | 9858 | 0 | 0 | 9858 | 0.0423 | 9858 | 0 | 9858 | 0.0423 | 0 | 0.0423 | 0 | 0 | 0 | 0 | 9858 |
|  | Aarti Life Science Llp | 1 | 3419 | 0 | 0 | 3419 | 0.0147 | 3419 | 0 | 3419 | 0.0147 | 0 | 0.0147 | 0 | 0 | 0 | 0 | 3419 |
|  | Aarti Industries Limited |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|  | Sub Total (A)(1) | 36 | 14028200 | 0 | 0 | 14028200 | 60.2069 | 14028200 | 0 | 14028200 | 60.2069 | 0 | 60.2069 | 0 | 0 | 0 | 0 | 14028200 |
| 2 | Foreign |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (a) | Individuals (Non-Resident Individuals / Foreign Individuals) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (b) | Government | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | , | 0 | 0 | 0 | 0 | 0 |
| (c) | Institutions | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (d) | Foreign Portfolio Investor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (e) | Any Other (Specify) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|  | Sub Total (A)(2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|  | Total Shareholding Of Promoter And Promoter Group (A)= $(A)(1)+(A)(2)$ | 36 | 14028200 | 0 | 0 | 14028200 | 60.2069 | 14028200 | 0 | 14028200 | 60.2069 | 0 | 60.2069 | 0 | 0 | 0 | 0 | 14028200 |


|  | Category \& Name of the shareholders | Nos. of sharehold ers | No. of fully paid up equity shares held | Partly <br> paid-up <br> equity <br> shares <br> held | No. of shares underlyin g Depositor y Receipts | Total nos. shares held | Sharehold <br> ing \% <br> calculated <br> as per <br> SCRR, <br> 1957 As a <br> \% of <br> ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | Number of Voting Rights held in each class of securities |  |  |  | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding , as a <br> $\%$ assuming full conversion of convertible securities ( as a percentage of diluted share capital) |  | Number of Locked in shares |  | Number of Shares pledged or otherwise encumbered |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  | No of Voting Rights |  |  | Total as a \% of $(A+B+C)$ |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  | $\begin{array}{\|l\|} \hline \text { Class eg: } \\ \mathrm{x} \\ \hline \end{array}$ | Class eg: y | Total |  |  |  |  | No. (a) | As a \% of total <br> Shares held(b) | No. (a) | As a \% of total <br> Shares held(b) | of equity <br> shares <br> held in <br> demateria <br> lised form |
|  | (1) | (III) | (IV) | (V) | (VI) | $\begin{gathered} \text { (VII) }= \\ \text { (IV) } \mathrm{V})+ \\ (\mathrm{VI}) \end{gathered}$ | $\begin{gathered} \text { (VIII) As a } \\ \% \text { of } \\ (A+B+C 2) \end{gathered}$ | (IX) |  |  |  | (X) |  | $\begin{gathered} (\mathrm{XI})=(\mathrm{VII})+(\mathrm{X}) \mathrm{As} \text { a } \\ \% \text { of }(\mathrm{A}+\mathrm{B}+\mathrm{C} 2) \\ \hline \end{gathered}$ | (XII) |  | (XIII) |  | (XIV) |
| 1 | Institutions |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (a) | Mutual Fund | 4 | 432178 | 0 | 0 | 432178 | 1.8548 | 432178 | 0 | 432178 | 1.8548 |  |  | 1.8548 | 0 |  | NA | NA | 432178 |
|  | Dsp Small Cap Fund | 1 | 348192 | 0 | 0 | 348192 | 1.4944 | 348192 | 0 | 348192 | 1.4944 |  |  | 1.4944 | 0 | 0 | NA | NA | 348192 |
| (b) | Venture Capital Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 0 |  | NA | NA | 0 |
| (c) | Alternate Investment Funds | 4 | 69386 | 0 | 0 | 69386 | 0.2978 | 69386 | 0 | 69386 | 0.2978 |  |  | 0.2978 | 0 |  | NA | NA | 69386 |
| (d) | Foreign Venture Capital Investors | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 0 |  | NA | NA | 0 |
| (e) | Foreign Portfolio Investor | 51 | 509937 | 0 | 0 | 509937 | 2.1886 | 509937 | 0 | 509937 | 2.1886 |  |  | 2.1886 | 0 | 0 | NA | NA | 509937 |
| (f) | Financial Institutions / Banks | 2 | 195 | 0 | 0 | 195 | 0.0008 | 195 | 0 | 195 | 0.0008 |  |  | 0.0008 | 0 |  | NA | NA | 195 |
| (g) | Insurance Companies | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 0 |  | NA | NA | 0 |
| (h) | Provident Funds/ Pension Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 0 |  | NA | NA | 0 |
| (i) | Any Other (Specify) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | - | 0 | 0 |  |  | 0 | 0 |  | NA | NA | 0 |
|  | Sub Total (B)(1) | 61 | 1011696 | 0 | 0 | 1011696 | 4.342 | 1011696 | 0 | 1011696 | 4.342 |  |  | 4.342 | 0 | 0 | NA | NA | 1011696 |
| 2 | Central Government/ State Government(s)/ President of India |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Sub Total (B)(2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 0 |  | NA | NA | 0 |
| 3 | Non-Institutions |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (a) | Individuals |  | 0 | 0 |  |  |  |  |  |  |  |  |  |  | 0 |  | NA | NA |  |
|  | i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 101899 | 4935899 | 0 | 0 | 4935899 | 21.1841 | 4935899 | 0 | 4935899 | 21.1841 |  |  | 21.1841 | 0 | 0 | NA | NA | 4595898 |
|  | ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 22 | 2344249 | 0 | 0 | 2344249 | 10.0612 | 2344249 | 0 | 2344249 | 10.0612 |  |  | 10.0612 | 0 | 0 | NA | NA | 2343849 |
|  | Harit Pragji Shah |  | 627609 | 0 | 0 | 627609 | 2.6936 | 627609 | - | 627609 | 2.6936 |  |  | 2.6936 | 0 | 0 | NA | NA | 627609 |
|  | Jayshree Harit Shah |  | 458328 | 0 | 0 | 458328 | 1.9671 | 458328 | 0 | 458328 | 1.9671 |  |  | 1.9671 | 0 | 0 | NA | NA | 458328 |
| (b) | NBFCs registered with RBI | 1 | 2000 | 0 | 0 | 2000 | 0.0086 | 2000 | 0 | 2000 | 0.0086 |  |  | 0.0086 | 0 | 0 | NA | NA | 2000 |
|  | Trust Employee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 | 0 |  | NA | NA |  |



Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

| No. of shareholders | No. of <br> Shares |
| :---: | :---: |
| 108 | 13475 |

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder


